

01/09/02

J1051 U.S. PTO

J0825 U.S. PTO  
10/040433

01/09/02

**UTILITY PATENT  
APPLICATION TRANSMITTAL**(Only for new nonprovisional applications  
under 37 CFR 1.53(b))

Attorney Docket No.

020003

Total Pages

First Named Inventor or Application Identifier

**Seiji YOSHIMURA, Hiroshi NAKAJIMA and Maruo KAMINO**

Check Box, if applicable [ ] Duplicate

Express Mail Label No.

APPLICATION ELEMENTS FOR:  
**LITHIUM SECONDARY BATTERY**

ADDRESS TO:

Commissioner for Patents  
BOX PATENT APPLICATIONS  
Washington, D.C. 202311. ☒ Fee Transmittal Form (Incorporated within this form)  
(Submit an original and a duplicate for fee processing)2. ☒ Specification Total Pages [63 ]3. ☒ Drawing(s) (35 USC 113) Total Sheets [3]4. ☒ Oath or Declaration Total Pages [2 ]a. ☒ Newly executed (original)b. ☐ Copy from prior application (37 CFR 1.63(d)  
(for continuation/divisional with Box 17 completed).i. ☐ Deletion of Inventor(s)Signed statement attached deleting inventor(s) named in prior application,  
see 37 CFR 1.63(d)(2) and 1.33(b).5. ☐ Incorporation by reference (useable if box 4b is checked)The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under  
Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby  
incorporated by reference therein.6. ☐ Microfiche Computer Program (Appendix)7. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)a. ☐ Computer Readable Copyb. ☐ Paper Copy (identical to computer copy)c. ☐ Statement Verifying identity of above copies**ACCOMPANYING APPLICATION PARTS**8. ☒ Assignment Papers (cover sheet and document(s)) to: **Sanyo Electric Co., Ltd., Moriguchi-shi, Japan**  
Please publish the assignee data with the application.9. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney

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10. ☐ English translation Document (if applicable)
11. ☐ Information Disclosure Statement ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)
14. ☐ Small Entity Status is claimed.
15. ☒ Claim for Convention Priority ☒ [2] Certified copies of Priority Documents are enclosed.
- a. Priority of Japanese Application No. 2001-036260 filed on February 14, 2001 is claimed under 35 USC 119.
- b. Priority of Japanese Application No. 2001-042927 filed on February 20, 2001 is claimed under 35 USC 119.
16. ☐ Other \_\_\_\_\_
17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:
- ☐ Continuation ☐ Division ☐ Continuation-in-part (CIP) of prior application no. \_\_\_\_/\_\_\_\_

FEE TRANSMITTAL	Number Filed	Number Extra	Rate	Basic . . Fee
The filing fee is calculated below				\$740.00
Total Claims	11- 20		x \$18.00	
Independent Claims	2 - 3		x \$84.00	
Multiple Dependent Claims			\$280.00	
Basic Filing Fee				\$740.00
Reduction by 1/2 for small entity				
Fee for recording enclosed Assignment			\$40.00	\$40.00
TOTAL				\$780.00


<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> (Only for new nonprovisional applications under 37 CFR 1.53(b))	Attorney Docket No.	020003
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	PAGE 3 OF 3	

[XX] A check in the amount of \$ 780.00 is enclosed to cover the filing fee of \$740.00 and the assignment recordation fee of \$40.00.

[ ] Please charge our Deposit Account No. **01-2340** in the total amount of \_\_\_\_\_ to cover the filing fee and the \_\_\_\_\_ assignment recordation fee. A duplicate of this sheet is attached.

☒ The Commissioner is hereby authorized to charge payment for any additional filing fees required under 37 CFR 1.16 or credit any overpayment to Deposit Account No. **01-2340**. A duplicate of this sheet is attached.

**CORRESPONDENCE ADDRESS:**

  
**23850**  
PATENT TRADEMARK OFFICE

<b>SUBMITTED BY</b>		
Typed or Printed Name	William L. Brooks	Reg. No. 34,129
Signature <i>William L Brooks</i>	Date: January 9, 2002	